



OFFICE OF THE COMPTROLLER  
CITY OF ST. LOUIS



FILE COPY

DARLENE GREEN  
Comptroller

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August 10, 2011

Richard Fleming, CEO  
St. Louis Regional Chamber and Growth Association  
1 Metropolitan Square Suite 1300  
St. Louis, MO 63102

RE: Workforce Investment Act (WIA) Project #2011-SLATE09)

Dear Mr. Fleming:

Enclosed is a report of the fiscal monitoring review of the St. Louis Regional Chamber and Growth Association (RCGA), a not-for profit organization, WIA program, for the period July 1, 2010 through March 31, 2011. The scope of a fiscal monitoring review is than an audit, and as such, we do not express an opinion on the financial operations of RCGA. Fieldwork was completed on May 4, 2011.

This review was made under authorization contained in Section 2, Article XV of the Charter, City of St. Louis, as revised, and has been conducted in accordance with the *International Standards for Professional Practice of Internal Auditing* and through an agreement with the City of St. Louis Agency on Training and Employment (SLATE) to provide fiscal monitoring to all grant subrecipients.

If you have any questions, please contact the Internal Audit Section at (314) 622-4723.

Sincerely,

Dr. Kenneth M. Stone, CPA  
Internal Audit Executive

Enclosure

cc: Michael Holmes Executive Director, SLATE  
Kim Neske, Fiscal Manager, SLATE  
Laura Taylor, Comptroller, RCGA



# CITY OF ST. LOUIS

**ST. LOUIS AGENCY ON TRAINING AND EMPLOYMENT (SLATE)  
ST. LOUIS REGIONAL CHAMBER AND GROWTH ASSOCIATION  
(RCGA)  
CONTRACT #121-11  
CFDA #17.2758**

**FISCAL MONITORING REVIEW**

**JULY 1, 2010 THROUGH MARCH 31, 2011**

**PROJECT #2011-SLATE09**

**DATE ISSUED: AUGUST 10, 2011**

**Prepared By:  
The Internal Audit Section**



## OFFICE OF THE COMPTROLLER

**HONORABLE DARLENE GREEN, COMPTROLLER**

**CITY OF ST. LOUIS**  
**ST. LOUIS AGENCY ON TRAINING AND EMPLOYMENT (SLATE)**  
**WORKFORCE INVESTMENT ACT (WIA)**  
**ST. LOUIS REGIONAL CHAMBER AND GROWTH ASSOCIATION (RCGA)**  
**CONTRACT #121-11 AND #414-11**  
**FISCAL MONITORING REVIEW**  
**JULY 1, 2010 THROUGH MARCH 31, 2011**

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## **INTRODUCTION**

### **Background**

**Contract Name:** Bounceback St. Louis Core Program

**Contract Numbers:** 121-11

**CFDA Numbers:** 17.278

**Contract Period:** July 1, 2010 through June 30, 2011

**Contract Amounts:** \$150,000

The contracts provided Workforce Investment Act (WIA) funds to St. Louis Regional Chamber and Growth Association (Agency) to retain and re-engage displaced skilled workers in the City of St. Louis who want to improve their access to education and training resources needed in the post recession economy.

### **Purpose**

The purpose of the review was to determine the Agency's compliance with federal, state and local St. Louis Agency on Training and Employment (SLATE) requirements for the period July 1, 2010 through March 31, 2011, and make recommendations for improvements as considered necessary.

### **Scope and Methodology**

Inquiries were made regarding the Agency's internal controls relating to the grants administered by SLATE. Evidence was tested supporting the reports the Agency submitted to SLATE and other procedures were performed as considered necessary. Fieldwork was substantially completed on May 4, 2011.

### **Exit Conference**

There were no current observations; therefore, an exit conference was not considered necessary.

## **SUMMARY OF OBSERVATIONS**

### **Conclusion**

No evidence was found to suggest that the Agency did not fully comply with federal state and local SLATE requirements.

### **Status of Prior Observations**

The Agency's most recent fiscal monitoring report, Project #2010-SLATE07, dated August 11, 2010, had two observations:

1. Opportunity to follow federal procurement, suspension and debarment guidelines **(Resolved)**
2. Opportunity to improve the appearance of conflict of interest **(Resolved)**

### **A-133 Status**

According to review of the Agency's Consolidated Financial Statements, dated April 13, 2010 and discussion with the Agency's Comptroller, the Agency did not expend \$500,000 or more in federal funds for its fiscal year ended December 31, 2010; therefore, an A-133 audit was not required. The Agency agreed to forward a letter IAS as verification.

### **Summary of Current Observations**

There were no current observations.